



## Securities Litigation

Reinhart's Securities Litigation group has extensive experience defending securities class actions, derivative shareholder suits, FINRA arbitrations and other federal and state securities claims brought by the government or by others in suits against our clients. We represent companies, boards and board committees, officers, directors, investment advisers, broker-dealers, attorneys, accountants, fund managers and others in a wide variety of securities litigation.

We also represent clients in investigations related to alleged securities fraud and related allegations of financial fraud, such as accounting fraud, bank fraud and insurance fraud. Our attorneys understand the complex accounting, finance, actuarial and insurance concepts required to effectively defend these actions. Reinhart attorneys also represent companies, individuals and institutional investors that have been victimized by fraud in recovering their losses.

### Matters

- Defense of publicly traded companies in the defense of securities fraud class action lawsuits and internal investigations in response to SEC fraud investigations
- Defense of a mutual fund officer in SEC enforcement action alleging insider trading and other securities laws violations
- Representing other parties involved in SEC investigations of the mutual fund industry
- Representing parties involved in SEC investigations of insurance company financial accounting
- Representation of a defendant in a multimillion dollar Alabama state securities fraud suit arising out of the failure of an insurance company
- Defense of a publicly traded company in an action involving the allocation of shares in a secondary offering
- Defense of a class action against the general partner and management companies against derivative claims brought by limited partners
- Defense of a New Jersey securities brokerage in a \$3 million multiple-party NASD arbitration
- Successfully represented a group of 35 families whose retirement funds were inappropriately invested by a broker. Was able to negotiate settlements that restored his clients' money and their retirement dreams
- Representing a public company manufacturer of outdoor equipment in defense of lawsuit by a dissident hedge fund shareholder. The trial court granted the company's motion for summary judgment, dismissing all of the hedge fund's claims against the company before trial