



Benjamin G. Lombard Shareholder

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As a shareholder in Reinhart's Corporate Law Practice, Benjamin Lombard takes a collaborative, hands-on approach to helping his clients solve problems. His range and depth of experience in securities and SEC compliance equips him to provide sound business advice for best outcomes.

Ben counsels business clients ranging from smaller privately held concerns to large public companies. He represents issuers and underwriters in public offerings and advises public companies, including boards of directors and committees, in areas of SEC compliance, corporate governance and executive compensation.

Ben is a knowledgeable legal partner in mergers and acquisitions, private placements and venture capital. He helps companies at all stages in their life cycle raise money through a wide variety of financing arrangements. He is also experienced in reviewing complex software and technology licensing agreements.

He is practical, approachable and dedicated to his clients' goals. Ben thrives on delving into the technical complexities of the law and finding creative solutions that ensure compliance while minimizing risk.

In addition to his legal work, Ben also speaks and writes on the challenges of complying with securities compliance requirements and best practices for corporate governance.

Ben is an avid cyclist and enjoys spending time with his family and traveling.

Education

- J.D., magna cum laude, Cornell University Law School (Order of the Coif)
- B.A., with honors, Northwestern University (Economics)

Bar Admissions

Wisconsin



Practices

- Corporate Law

Services

- Securities
- Mergers and Acquisitions
- Private Equity
- Financial Institutions
- Executive Compensation
- Software, Technology and Licensing

Matters

- Advising public companies with securities law compliance and reporting and disclosure requirements
- Representing public and private companies in M&A transactions
- Representing issuers and underwriters in public offerings of securities
- Representing privately held companies in private placements and crowdfunding offerings, including under Wisconsin's crowdfunding statute
- Providing legal services in connection with the formation of hedge funds and other private investment funds, and providing legal services to institutional investor clients regarding investments in such funds
- Representing registered investment advisers, including robo-advisers
- Helping clients in difficulties with securities regulators
- Advising clients in connection with software and technology licenses
- Representing special committees of Boards in transactions

Honors & Affiliations

Honors

- *Best Lawyers in America* (Corporate Law; Securities/Capital Markets Law)

Affiliations

- State Bar of Wisconsin (member of the Securities Law Section committee that drafted the 2008 Uniform Securities Act for Wisconsin)



- Milwaukee Bar Association

Presentations

- "Guide for Audit Committee Members After Sarbanes-Oxley"
- "Navigating the Limits on General Solicitation and General Advertising in Private Placements"
- "Finders as Broker-Dealers under the Securities Laws: The Problem and Possible Solutions"